



## Securities Registration & Deposit Instructions

**All DTC eligible shares MUST be delivered through DTC or DWAC (if not DTC participant) as follows:**

DTC # 901

Reference: UTAH & CO

Agent Bank # 26500

Acct # 822475

Two days prior to actual delivery, we request an excel list of the securities, including cusip numbers, number of shares, issue names, and the delivering party's DTC participant number. Please email the list to [upch.custody@xerox.com](mailto:upch.custody@xerox.com).

**Register and deliver Physical Certificates, ONLY for non-DTC eligible shares as follows:**

UTAH & CO

Acct # 822475

FEIN # 33-1059625

The Depository Trust Company

570 Washington Blvd – 5th Fl

Jersey City, NJ 07310

Attn. BNY Mellon/ Branch Deposit Department/822475

**Please note: Physical certificates will be returned if DTC, DWAC, or DRS eligible. If physical certificates are returned, penalties will be assessed if not corrected**

Two days prior to actual delivery, we request an excel list of the securities, including cusip numbers, number of shares, issue names, and the delivering party's DTC participant number. Please email the list to [upch.custody@xerox.com](mailto:upch.custody@xerox.com).

**For Mutual Funds**

Please register open end mutual fund accounts held for the State of Utah in the name of MAC & CO. 48 -72 hours prior to delivery please email your request to [upch.custody@xerox.com](mailto:upch.custody@xerox.com) to obtain a unique account number.

Please send interested party statements for open end and closed end accounts to the following:

Xerox State and Local Solutions

Custody Department

100 Hancock Street, 10<sup>th</sup> Floor

Quincy MA 02171

**A confirmation statement showing the 'State of Utah' as the owner of the shares must accompany your Report of Unclaimed Property, in order for your report to be complete.**



**A confirmation statement showing UTAH & CO as the owner of the shares must accompany your Report of Unclaimed Property for your report to be considered complete.**

Accounts held for the State must be registered in the name of Mac & Co. Xerox will provide account numbers for all mutual funds transferred to the state's account. Contact Xerox at [UPCH.Custody@xerox.com](mailto:UPCH.Custody@xerox.com) to obtain account numbers 3 4 business days prior to attempting delivery.

**For Foreign Securities**

Please contact our securities custodian for instructions:  
Xerox State and Local Solutions  
Email: [UPCH.Custody@xerox.com](mailto:UPCH.Custody@xerox.com)

**For Federal Reserve Securities**

Please deliver as follows:  
Federal Reserve Bank of New York  
ABA#0210-0001-8  
Bk of NYC/Trust (822475)